



CENTAUR
ASSET MANAGEMENT

Authorised FSP Number 647
INTERMEDIARY DISCLOSURE

In terms of the Financial Advisory and Intermediary Services Act (FAIS) (ACT NO 37 OF 2002) the following information must be disclosed to clients and potential clients:

Centaur accepts responsibility for those activities performed by all its Representative that falls within the scope of, or in the course of implementing, any such aforementioned contract.

Centaur holds the below-mentioned FSP licences and is authorised to provide financial services (advice and intermediary services) with respect to the products and sub-categories:

Products Approved

Category Description	Advice	Intermediary
CATEGORY I		
Long-Term Insurance: Category C	x	x
Retail Pension Benefits	x	x
Pension Funds Benefits (excluding retail)	x	x
Securities and Instruments: Shares	x	x
Securities and Instruments: Debentures and securitised debt	x	x
Securities and Instruments: Warrants, certificates and other instruments	x	x
Securities and Instruments: Bonds	x	x
Participatory interests in Collective Investment Schemes	x	x
CATEGORY II - Discretionary FSP		
Long-Term Insurance: Category C		x
Retail Pension Benefits		x
Securities and Instruments: Shares		x
Securities and Instruments: Debentures and securitised debt		x
Securities and Instruments: Warrants, certificates and other instruments		x
Securities and Instruments: Bonds		x
Participatory interests in Collective Investment Schemes		x

If you have any enquiries please contact the FSB call centre - 0800 110 443

Centaur has been accredited to market products from the following Product Suppliers, namely:

- PSG Securities
- Allan Gray Offshore
- Boutique Collective Investments
- Momentum Wealth

Centaur does not own more than 10 % of issued shares, directly or indirectly, of any Life Assurer or Financial Product Supplier. Centaur is not an associated company of any Life Assurer or Product Supplier.

Centaur has earned more than 30 % of total fees from Boutique Collective Investments.

Centaur earns income from fees and may earn additional commissions, incentives, fees, rebates and fee reductions. These additional fees are disclosed to you, if applicable, or will be disclosed in your Mandate.

TARGETED GROWTH



We market a select group of funds to our clients, two of which are our own Centaur unit trust funds, for which we receive a rebate that is disclosed to you. Our Centaur unit trust funds are attractive investments and their historic performance has been exceptional. (Please note that past performance is not indicative of future performance).

Centaur has adopted a Conflict of Interest Management Policy and has a Gifts Register, details of which are available upon enquiry at our offices.

Centaur has adopted a Complaints Resolution Procedure which is available at our offices for your perusal. Should a complaint arise, it can be directed in writing to: admin@centaur.co.za

Centaur is aware that no FSP may request or induce in any manner a client to waive any right or benefit conferred upon a client in terms of the General Code of Conduct for Financial Service Providers and Representatives.

All information obtained or acquired from or about you shall remain confidential unless you provide written consent, or unless Centaur is required by law to disclose such information.

Moonstone Compliance (Pty) Ltd is the businesses compliance officer. Moonstone Compliance can be contacted at: 25 Quantum street, Technopark, Stellenbosch, 7600, Tel No: 021-883 8000.

